JUN 2 6 2006

The Charles

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of

U.S. Patent No.

: 7,011,868 B2

Issued

: March 14, 2006

Title

: FLUORINE-FREE PLASMA CURING PROCESS FOR POROUS

LOW-K MATERIALS

Docket No.

: AXD 0001 I3/31126.3

MAIL STOP PETITIONS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patnts, P.O. Box 1450, Alexandria, VA 22313-1450, on June 22, 2006.

Patricia I Prior

Reg. No. 33,75

Sir:

REQUEST UNDER 37 C.F.R. 3.81(b)

Applicants hereby request that the Assignees' name and address (assignment data) printed on the above-identified issued patent be corrected as follows:

(73) Assignee: "Axcelis Technologies, Inc., Beverly, MA (US)" should read --Axcelis Technologies, Inc., Beverly, MA (US) and JSR Corporation, Tokyo, Japan--.

At the time the issue fee was paid a secretarial error occurred in typing the information on the appropriate space for identifying the assignment data, i.e, item 3 of the Fee(s) Transmittal Form PTOL-85B.

Applicants state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent. A copy of the Notices of Recordation of Assignment Documents are enclosed (dated February 20, 2004, Reel/Frame 014992/0338, Assignee Axcelis Technologies, Inc. and dated August 18, 2003, Reel/Frame 014391/0159, Assignee JSR Corporation).

Enclosed is a copy of the Request for Certificate of Correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a) submitted May 16, 2006.

06/28/2006 AWDNDAF1 00000084 7011868

01 FC:1464

130.00 OP

Patent No. 7,011,868 B2 Docket AXD 0001 I3/31126.3

Also enclosed is the processing fee set forth in 37 CFR 1.17(i) in the amount of \$130.00 which represents the payment for the above subject matter.

Applicant's request that a Certificate of Correction accurately indicating the Assignees' names and addresses (assignment data) as shown on the attached PTO/SB/44 be issued and mailed to the undersigned attorney.

Respectfully submitted, DINSMORE & SHOHL LLP

By <u>Patricia</u> J. Prior

Registration No. 33,758

One Dayton Centre One South Main St., Suite 1300 Dayton, Ohio 45402-2023 Telephone: (937) 449-6400

Facsimile: (937) 449-6405

PLP/AMM Encls.

-2-

PTO/SB/17i (04-05) JUN 2 6 2006 Approved for use through 07/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PADEMAR PROCESSING FEE Under 37 CFR 1.17(i) TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

| Application Number | 10/627,894/7,011,868 B2 |
|------------------------|-------------------------------|
| Filing Date | July 24, 2003/March 14, 2006 |
| First Named Inventor | Carlo Waldfried |
| Art Unit | 1762 |
| Examiner Name | Marianne L. Padgett |
| Attorney Docket Number | AXD 0001 I3 (01-SM5-218-CIP2) |

| Enclosed is a paper filed under 37 CFR $\frac{3.81(b)}{}$ that re- | quires a processing fee (37 CFR 1.17(i)). |
|--|--|
| Payment of \$ 130.00 is enclosed. | |
| This form should be included with the above-mentioned paper and faxed or mapplicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), so | nailed to the Office using the appropriate Mail Stop, if see form PTO/SB/17p |
| applicable. For transmittar of petition rees and of or the first (1), (g) or (h), so | , o tom 1 1 3.05.11 p. |
| Payment of Fees (small entity amounts are NOT available for the process | sing fees) |
| The Commissioner is hereby authorized to charge the following for | ees to Deposit Account No: |
| processing fee under 37 CFR 1.17(i) any defice Enclose a duplicative copy of this form for fee processing. | iency of fees and credit of any overpayments |
| Check in the amount of \$ 130.00 is end | elosed. |
| Payment by credit card (Form PTO-2038 or equivalent enclosed | l). Do not provide credit card information on this form. |
| Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 180 | |
| Except for § 1 | I.221 papers (Fee Code 1803) |
| For papers filed under: § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error § 1.41 - for supplying the name or names of the inventor or inventors after the by § 1.63, except in provisional applications. § 1.48 - for correcting inventorship, except in provisional applications. § 1.52(d) - for processing a nonprovisional application filed with a specificatio § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a r § 1.55 - for entry of late priority papers. § 1.71(g)(2) - to enter an amendment to the specification for purposes of 35 § 1.99(e) - for processing a belated submission under § 1.99. § 1.103(b) - for requesting limited suspension of action, continued prosecutio § 1.103(c) - for requesting limited suspension of action, request for continued § 1.103(d) - for requesting deferred examination of an application. § 1.217 - for processing a redacted copy of a paper submitted in the file of an the patent application publication. § 1.221 - for requesting voluntary publication or republication of an application § 1.291(c)(5) - for processing a second or subsequent protest by the same re § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) n entity set forth in the international stage. § 3.81 - for a patent to issue to assignee, assignment submitted after paymer | e filing date without an oath or declaration as prescribed in in a language other than English. nonprovisional application under § 1.53(b). U.S.C. 103(c)(2) if not filed within the cited time periods in application (§ 1.53(d)). I examination (§ 1.114). I application in which a redacted copy was submitted for in. Fee Code 1803 all party in interest. aming an inventive entity different from the inventive |
| Palricia & Prior | 06/22/2006 |
| Signature | Date |
| Patricia L. Prior | 33,758 |
| Typed or printed name | Registration No., if applicable |
| ryped of printed harne | registration (vo., ii applicable |

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

| CERTIFICATE OF CORRECTION |
|---|
| Page1of1_ |
| PATENT NO. : 7,011,868 B2 |
| APPLICATION NO.: 10/627,894 |
| ISSUE DATE : March 14, 2006 |
| INVENTOR(S) : Waldfried et al |
| It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below: |
| (73) Assignee: "Axcelis Technologies, Inc., Beverly, MA (US)" should readAxcelis Technologies, Inc., Beverly, MA (US) and JSR Corporation, Tokyo, Japan |
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MAILING ADDRESS OF SENDER (Please do not use customer number below):

Dinsmore & Shohl, LLP One Dayton Centre One South Main Street, Suite 1300 Dayton, Ohio 45402

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 16, 2004

PTAS



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DINSMORE & SHOHL, LLP ONE DAYTON CENTRE ONE SOUTH MAIN STREET, SUITE 500 DAYTON, OH 45402

AXD COO! I3

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2004

REEL/FRAME: 014992/0338

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WALDFRIED, CARLO

DOC DATE: 07/21/2003

ASSIGNOR:

HAN, QINGYUAN

DOC DATE: 07/21/2003

ASSIGNOR:

ESCORCIA, ORLANDO

DOC DATE: 07/18/2003

ASSIGNOR:

BERRY, IVAN L., III

DOC DATE: 07/21/2003

ASSIGNOR:

ALBANO, RALPH

DOC DATE: 07/18/2003

014992/0338 PAGE 2

ASSIGNEE:

AXCELIS TECHNOLOGIES, INC.

55 CHERRY HILL DRIVE

BEVERLY, MASSACHUSETTS 01915

SERIAL NUMBER: 10627894

FILING DATE: 07/24/2003

ISSUE DATE:

PATENT NUMBER: TITLE: FLUORINE-FREE PLASMA CURING PROCESS FOR POROUS LOW-K MATERIALS

DOROTHY RILEY, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

U.S. DEPARTMENT OF COMMERCE Form PTO-15 U.S. Patent and Trademark Office (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings ⇔ ⇔ ⇔ ned original documents or copy thereof. To the Honorable Commissioner of Patents and Trademarks: Please record ... 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Name: Axcelis Technologies, Inc. Carlo Waldfried, Qingyuan Han, Orlando Escorcia, Ivan L. Berry, III; Ralph Albano Internal Address: ______ Additional name(s) of conveying party(ies) attached? 📮 Yes 🛂 No 3. Nature of conveyance: Street Address: 55 Cherry Hill Drive ☐ Merger Assignment Change of Name Security Agreement Other_____ _____State: MA Zip: 01915 Citv: Beverly Execution Date: July 21, 2003; July 18, 2003 Additional name(s) & address(es) attached? 📮 Yes 🛂 No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:_____ B. Patent No.(s) A. Patent Application No.(s) 10/627,894 Additional numbers attached? Yes No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41).....\$\frac{40.00}{} Name: Dinsmore & Shohl, L.L.P. **Enclosed** Internal Address:______ Authorized to be charged to deposit account 8. Deposit account number: One Dayton Centre One South Main Street, Suite 500 (Attach duplicate copy of this page if paying by deposit account) _Zip:_⁴⁵⁴⁰² City: Dayton State: OH DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy

is a true copy of the original document.

Brian L. Smiler

Name of Person Signing

W

February 17, 2004

Date

ASSIGNMENT

WHEREAS we, Carlo Waldfried, of Falls Church, VA; Qingyuan Han, of Columbia, MD; Orlando Escorcia, of Falls Church, VA; and Ivan L. Berry, III, of Ellicott City, MD have invented certain new and useful FLUORINE-FREE PLASMA CURING PROCESS OF POROUS LOW-K MATERIALS PLASMA ASHING APPARATUS AND

ENDPOINT DETECTION PROCESS for which we executed an application for a United States Patent and for which said application for United States Patent was filed on July 24, 2003, under

- Patent Application Serial No. 10/627,894
- Attorney Docket No. AXC 0001 I3 (01-SM5-218 CIP2);

WHEREAS, we hereby authorize and request the attorney(s) and/or agent(s) empowered to act on our behalf in the aforementioned application, to insert above the filing date and application number of said application, when known;

WHEREAS, Axcelis Technologies, Inc., a corporation of the State of Delaware, with a place of business at 55 Cherry Hill Drive, Beverly, Massachusetts, 01915, hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said application and the inventions therein disclosed and any letters patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, receipt whereof is hereby acknowledged, we hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire right, title and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon, and we hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors and assigns; and we hereby authorize said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under the International Convention claiming the priority of said United States application or otherwise, and to secure in its own name the patent or patents issued thereon; and we hereby agree that, upon request, we will sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors or assigns, may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon.

| By: Carlo Waldfried | Dated: July 21 2003 |
|---|--|
| Witnessed by: Signature and Date | Printed Name of Witness |
| By: Qingyuan Han Witnessed by: Signature and Date | Dated: July 21 2003 Paula Barton Printed Name of Witness |
| By: Creater Orlando Escorcia Witnessed by: Signature and Date | Dated: July 18, 2003 Paula Barton Printed Name of Witness |
| By: Ivan L. Berry, III Witnessed by: Signature and Date | Dated: July 21 2003 Paula Barton Printed Name of Witness |

ASSIGNMENT

| WHEREAS I, Ralph Albano, of Columbia, MB; have invented certain new and u FLUORINE-FREE PLASMA CURING PROCESS FOR POROUS LOW-K MATER PLASMA ASHING APPARATUS AND ENDPOINT DETECTION PROCESS for we executed an application for a United States Patent and for which said application for United States Patent was filed on July 24, 2003, under | hich I |
|---|--------|
|---|--------|

- Patent Application Serial No. 10/627,894
- Attorney Docket No. AXC 0001 I3 (01-SM5-218 CIP2);

WHEREAS, I hereby authorize and request the attorney(s) and/or agent(s) empowered to act on my behalf in the aforementioned application, to insert above the filing date and application number of said application, when known;

WHEREAS, Axcelis Technologies, Inc., a corporation of the State of Delaware, with a place of business at 55 Cherry Hill Drive, Beverly, Massachusetts, 01915, hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said application and the inventions therein disclosed and any letters patent that may issue thereon;

NOW THEREFORE, for good and valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire right, title and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors and assigns; and we hereby authorize said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under the International Convention claiming the priority of said United States application or otherwise, and to secure in its own name the patent or patents issued thereon; and I hereby agree that, upon request, I will sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors or assigns, may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon.

By: Ralph Albano

Witnessed by:

Signature and Date

Dated: 7/18/03

Printed Name of Witness

PTO/SB/17 (10-03)

Telephone (937) 223-2050

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

| TOTAL AMOUNT | OF PAYMENT | (\$) | 40.00 |
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SUBMITTED BY

Name (Print/Type)

Brian L. Smiles

| espond to a collection of init | Jillation unless it displays a valid OND control hams |
|--------------------------------|---|
| Co | omplete if Known |
| Application Number | 10/627,894 |
| Filing Date | July 24, 2003 |
| First Named Inventor | Carlo Waldfried |
| Examiner Name | |
| Art Unit | 1762 |
| Attamou Docket No | AXD 0001 I3/31126.3 |

| METHOD OF PAYMENT (check all that apply) | | | | FEE | CALCULATION (continued) | |
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| Order — | Large En | \neg | | | | |
| Deposit Account: | Fee F Code | | Fee Code | Fee (\$) | Fee Description | Fee Paid_ |
| Deposit Account | | 130 | 2051 | | Surcharge - late filing fee or oath | |
| Number Deposit | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or | |
| Account Name | 1053 | 130 | 1053 | 130 | cover sheet Non-English specification | |
| The Director is authorized to: (check all that apply) | 1812 2 | | 1812 | | For filing a request for ex parte reexamination | |
| Charge fee(s) indicated below Credit any overpayments | 1804 | 920* | 1804 | | Requesting publication of SIR prior to | |
| Charge any additional fee(s) or any underpayment of fee(s) | | | 4005 | 4 0 40* | Examiner action Requesting publication of SIR after | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1 | ,840* | 1805 | 1,840* | Examiner action | |
| to the above-identified deposit account. | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| FEE CALCULATION | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1. BASIC FILING FEE Large Entity Small Entity | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Fee Fee Fee Fee Fee Description Fee Paid | 1254 1 | ,480 | 2254 | 740 | Extension for reply within fourth month | —— |
| Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee | 1255 2 | ,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1002 340 2002 170 Design filing fee | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1003 530 2003 265 Plant filing fee | 1402 | 330 | 2402 | | Filing a brief in support of an appeal | |
| 1004 770 2004 385 Reissue filing fee | 1403 | 290 | 2403 | | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 1 | ,510 | 1451 | | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) -0- | 1452 | 110 | 2452 | | Petition to revive - unavoidable | |
| | 1453 1 | ,330 | 2453 | | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1501 1 | | 2501 | | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid Total Claims 20** = X = = = = = = = = = = = = = = = = = | 1502 | 480 | 2502 | | Design issue fee Plant issue fee | |
| Total Claims | 1503 | 640 | 2503 1460 | | Petitions to the Commissioner | |
| Claims - 3" = | 1460 | 130 50 | 180 | | Processing fee under 37 CFR 1.17(q) | |
| | 1807 | 180 | 180 | | Submission of Information Disclosure Stmt | |
| Large Entity Small Entity Fee Fee | 1806 | | | | Recording each patent assignment per | 40 |
| Code (\$) | 8021 | 40 | 802 | | property (times number or properties) | |
| 1202 10 2202 0 | 1809 | 770 | 280 | 9 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 281 | 0 385 | 5 For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| (a) 0 | Other f | iee (sp | pecify) _ | | | |
| SUBIUIAL (2) (Ψ) | *Reduc | ced by | y Basic | Filing F | Fee Paid SUBTOTAL (3) (\$) | 40.00 |
| **or number previously paid, if greater, For Reissues, see above | - | === | | | (Complete (if applicable)) | |

Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

46.458

Under the Reperwork Redu

(\$) 40.00

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE appond to a collection of information unless it displays a valid OMB control number.

Complete if Known

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| for | FY | 2004 | |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

| TOTAL AMOUNT OF PAYMENT |
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| Application Number | 10/627,894 | |
|----------------------|---------------------|--|
| Filing Date | July 24, 2003 | |
| First Named Inventor | Carlo Waldfried | |
| Examiner Name | | |
| Art Unit | 1762 | |
| Attornov Docket No. | AXD 0001 I3/31126.3 | |

| Check Credit card Money Other None Order None Order Orde | METHOD OF PAYMENT (check all that apply) | | | | FEE | E CALCULATION (continued) | |
|---|---|------|---------|---------|----------|--|--|
| Deposit Account Pee Pee Code (\$) Code (\$) Code (\$) | Chack Card Money Other None | | | | | | |
| Deposit Account Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments 1653 130 | Order D Order | • | | | | | |
| 1051 130 1052 50 2052 25 Surcharge - late filing fee or cath 1053 130 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 1 | | | | | | Fee Description | Fee Paid |
| Number Deposit Account Name Deposit Deposit Name Deposit | Account | | ``' | 1 | | Surcharge - late filing fee or oath | |
| The Director is authorized to: (check all that apphy) Charge fee(s) indicated below Credit any overpayments | Deposit | | | l | | | |
| The Director is authorized to: (check all that apply) Charge fee(s) indicated below | Account | | 120 | l | | | |
| Charge fee(s) indicated below | The Director is authorized to: (check all that apply) | | | | | - · | |
| Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pead (\$) Code (\$) Code (\$) Code (\$) Plant filling fee Code (\$) Plant filling fee Code (\$) Plant filling fee Code (\$) Provisional filling fee Code (\$) Provisional filling fee Code (\$) Code (\$) Provisional filling fee Code (\$) Code (\$) Code (\$) Provisional filling fee Code (\$) Co | Charge fee(s) indicated below Credit any overpayments | | | 1 | 920* | Requesting publication of SIR prior to | 1 1 |
| Total Claims | | | | | | Examiner action | |
| Total Claims | | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEE Large Entity Small Entity Fee | | 1251 | 110 | 2251 | 55 | | ├ |
| 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) | | | | 1 | 210 | Extension for reply within second month | ├ |
| Large Entity Fee F | 1. BASIC FILING FEE | | | i . | 475 | Extension for reply within third month | ├ |
| 1255 2,010 1255 | Fee Fee Fee Fee Description Fee Paid | | 1,480 | 2254 | | • • | |
| 1001 770 2001 385 Utility fling fee 1002 340 2002 170 Design filing fee 1402 330 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 1451 1,510 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unavoidable 1453 1,330 2453 665 Petition to revive - unintentional 1501 1,330 2501 665 Utility issue fee 1503 640 2503 320 Plant issue fee 1503 640 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 Submission of Information Disclosure Stmt 1809 770 1809 770 1809 770 1809 770 2809 385 For each additional invention to be examined (37 CFR 1.129(a)) 1202 86 2204 43 ** Reissue independent claims 1806 180 1 | Code (\$) Code (\$) | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1002 340 2002 170 Design fliling fee 1402 330 2402 165 Filing a brief in support of an appeal 1403 290 2403 145 Request for oral hearing 1451 1,510 1451 1,5 | | | , | 2401 | 165 | Notice of Appeal | |
| 1003 530 2003 265 Plant liting fee 1403 290 2403 145 Request for oral hearing 1451 1,510 1451 | | | | | | • | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Total Claims | 7. 65. 6. | | | 1 | | | |
| SUBTOTAL (1) (\$) -0- 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from helow Fee Paid Total Claims -20** = X = X = 1 | | | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | \vdash |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Paid 1502 480 2502 240 Design issue fee Independent Claims Multiple Dependent 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity Fee Fee Code (\$) Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 1203 290 2203 145 Multiple dependent claims in excess of 3 1203 290 2203 145 Multiple dependent claims in excess of 3 1204 86 2204 43 **Reissue independent claims | 1000 100 2000 10 | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| Extra Claims 1502 480 2502 240 Design issue fee 1503 320 Plant issue fee 1503 640 1503 640 1503 320 Plant issue fee 1503 320 320 320 320 320 320 320 320 320 320 320 320 | 00B1017±(17 (0) | 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| Total Claims | Fee from | 1501 | 1,330 | 2501 | | • | |
| Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claims 1460 130 1460 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1806 180 Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties) 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1809 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) | Extra Claims below Fee Paid | 1502 | 480 | 2502 | | • | |
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| examined (37 CFR 1.129(b)) 1204 86 2204 43 ** Reissue independent claims | 1201 00 2207 | | | | | (37 CFR 1.129(a)) | |
| 1204 86 2204 43 ** Reissue independent claims | 1200 200 | 1810 | 770 | 281 | 0 385 | b For each additional invention to be examined (37 CFR 1.129(b)) | |
| over original patent 1801 770 2801 385 Request for Continued Examination (RCE) | | 1801 | 770 | 2801 | 385 | 5 Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent 1802 900 Request for expedited examination of a design application | 1205 18 2205 9 ** Reissue claims in excess of 20 | | | 1802 | | 0 Request for expedited examination | |
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| **or number previously paid, if greater; For Reissues, see above substance of the substance | SUBTOTAL (2) (4) | *Red | uced by | y Basic | Filing I | Fee Paid SUBTOTAL (3) (\$) | 40.00 |

| SUBMITTED BY | | |
|--|----------------|-----------------|
| Name (Print/Type) Brian L. Smiter Registration No. (Atternet/Agent) 46,458 | Telephone (937 | 7) 223-2050 |
| | Date Feb | oruary 17, 2004 |

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MARCH 05, 2004

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KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, LLP BRIAN L. SMILER ONE SOUTH MAIN STREET, SUITE 500 ONE DAYTON CENTRE DAYTON, OH 45402



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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/18/2003

REEL/FRAME: 014391/0159

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SHIOTA, ATSUSHI

DOC DATE: 07/01/2003

ASSIGNEE:

JSR CORPORATION

2-11-24, TSUKIJI, CHUO-KU

TOKYO, JAPAN

SERIAL NUMBER: 10627894

PATENT NUMBER:

FILING DATE: 07/24/2003

ISSUE DATE:

SHAREILL COLES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

Form PTO-1595 (Rev. 10/02) OMB No. 0651-002 Tab settings ⇒ ⇒ ⇒

08-20-2003



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U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

| To the Honorable Commissioner of Patents and Trademarks: | Please record the attached original documents or copy thereof. |
|---|---|
| Name of conveying party(ies): | 2. Name and address of receiving party(ies) |
| Atsushi Shiota 8 – 18 – 63 | Name: JSR Corporation |
| | Internal Address: |
| Additional name(s) of conveying party(ies) attached? 📮 Yes 🛂 No | <u> </u> |
| 3. Nature of conveyance: | |
| 🖆 Assignment 📮 Merger | Street Address: 2-11-24, Tsukiji |
| 🖫 Security Agreement 🖳 Change of Name | Chuo-ku |
| Other | Chuo-ku |
| | City: Tokyo State: Japan Zip: |
| Execution Date: 7/1/03 | Additional name(s) & address(es) attached? 🛄 Yes 🕍 No |
| 4. Application number(s) or patent number(s): | • |
| If this document is being filed together with a new appli | cation, the execution date of the application is: |
| A. Patent Application No.(s) | B. Patent No.(s) |
| 10/627,894 | |
| Additional numbers att | I ached? 🖳 Yes 🜠 No |
| 5. Name and address of party to whom correspondence | 6. Total number of applications and patents involved: |
| concerning document should be mailed: | 7. Total fee (37 CFR 3.41)\$\frac{40.00}{} |
| Name: Killworth, Gottman, Hagan & Schaeff, L.L.P. | |
| Internal Address: | Enclosed |
| ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | Authorized to be charged to deposit account |
| | 8. Deposit account number: |
| One Dayton Centre Street Address: | |
| One South Main Street, Suite 500 | · |
| City: Dayton State: OH Zip: 45402 | (Attach duplicate copy of this page if paying by deposit account) |
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| 9. Statement and signature. | |
| To the best of my knowledge and belief, the foregoing in is a true copy of the original document. | 3/// |
| Brian L. Smiler | August 13, 2003 |
| Name of Person Signing | Signature Date |
| rotal number of pages including cover | r sheet, attachments, and documents: |

08/20/2003 LMUELLER 00000039 10627894

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Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

40.00 OP

ASSIGNMENT

WHEREAS, I, Atsushi Shiota, of, Ibarkai, Japan, invented certain new and useful FLUORINE-FREE PLASMA CURING PROCESS FOR POROUS LOW-K MATERIALS for which I executed an application for a United States Patent and for which said application for United States Patent was filed on July 24, 2003, under

- ☑ Patent Application Serial No. 10/627,894

WHEREAS, I hereby authorize and request the attorney(s) and/or agent(s) empowered to act on my behalf in the aforementioned application, to insert above the filing date and application number of said application, when known;

AND WHEREAS, JSR Corporation with a place of business at 2-11-24, Tsukiji, Chuo-ku, Tokyo, Japan, hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said application and the inventions therein disclosed and any letters patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign and transfer unto said Assignee, its successors and assigns, the entire right, title and interest in and to said application and any divisions or continuations thereof, and the inventions therein disclosed, and any improvements thereon, and any patent or patents that may be issued or reissued thereon, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue any letters patent thereon, and reissues thereof, to said Assignee, its successors and assigns; and I hereby authorize said Assignee, its successors and assigns, to file in its own name applications for patent in foreign countries in connection with the inventions hereby transferred, under the International Convention claiming the priority of said United States application or otherwise, and to secure in its own name the patent or patents issued thereon; and I hereby agree that, upon request, I will sign all papers, and make all rightful oaths, and do all acts which said Assignee, its successors or assigns, may consider necessary in connection with said United States application, and in connection with any other United States or foreign applications that may be filed in connection with said inventions, and with any improvements thereon, and in connection with any patents issued or reissued thereon.

| Tuly | IN TESTIMONY WHEREOF, I hereunto set my hand and seal this/_ day of, 2003 . |
|------|---|
| | Atsushi Shiota |
| | Milore habay ama Witness |

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Brian L. Smiler

| (\$) 40.00 | (\$) | 40.00 | |
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|-------------------------------|-----------------------------|--|--|--|--|
| Complete if Known | | | | | |
| Application Number | 10/627,894 | | | | |
| Filing Date | July 24, 2003 | | | | |
| First Named Inventor | Carlo Waldfried | | | | |
| Examiner Name | | | | | |
| Art Unit | | | | | |
| Attorney Docket No. | AXC 0001 I3/01-SM5-218 CIP2 | | | | |

(Complete (if applicable)

Telephone 937-223-2050

August 13, 2003

| METHOD OF PAYMENT (check all that apply) | | | FEE | CALCULATION (continued) | |
|---|----------------------|-------------|-------------|--|------------|
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| Deposit Account: | rge Entity | Small | Entity | | |
| | ee Fee ode (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Account 1 | 051 130 | 2051 | | Surcharge - late filing fee or oath | T EE T GIG |
| Deposit 10 | 052 50 | 2052 | | Surcharge - late provisional filing fee or | |
| Account Name | | 1,050 | 400 | cover sheet | |
| The Director is authorized to: (check all that apply) | 053 130 812 2.520 | 1053 | | Non-English specification For filing a request for ex parte reexamination | |
| Charge fee(s) indicated below Credit any overpayments | 804 920 | 1 | _, | Requesting publication of SIR prior to | |
| Charge any additional fee(s) during the pendency of this application | 004 320 | 1004 | 320 | Examiner action | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | 805 1,840 | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | 251 110 | 2251 | 55 | Extension for reply within first month | |
| | 252 410 | 2252 | 205 | Extension for reply within second month | |
| 1. BASIC FILING FEE Large Entity Small Entity | 253 930 | 2253 | 465 | Extension for reply within third month | |
| Fee Fee Fee Fee Description Fee Paid 12 | 254 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee | 255 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| | 401 320 | 2401 | 160 | Notice of Appeal | |
| · · · · · · · · · · · · · · · · · · · | 402 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| | 403 280 | 2403 | 140 | Request for oral hearing | |
| | 451 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | 452 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 14 | 453 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 501 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid 15 | 502 470 | 2502 | 235 | Design issue fee | |
| tedesendent Company | 503 630 | 2503 | 315 | Plant issue fee | |
| Claims - 3 - 3 - 14 | 460 130 | 1460 | 130 | Petitions to the Commissioner | |
| Multiple Dependent | 807 50 | 1807 | 7 50 | Processing fee under 37 CFR 1.17(q) | |
| | 806 180 | 1806 | | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) | 021 40 | 802 | 1 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 | 809 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) |] |
| | 810 750 | 2810 | 375 | For each additional invention to be | |
| 1204 84 2204 42 ** Reissue independent claims | 1004 750 | 2801 | 27 <i>E</i> | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | |
| | 1801 750 802 900 | 1 | | Request for expedited examination | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 002 300 | 1 1002 | 300 | of a design application | |
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| *For number previously paid, if greater; For Reissues, see above | Reduced b | y Basic | Filing F | ee Paid SUBTOTAL (3) (\$) 4 | 0.00 |

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#Attorney/Agent

46.458

PTO/SB/17 (05-03) ough 04/30/2003. OMB 0651-0032 U.S. Patent and fredemark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known TRANSMITTAL 10/627,894 **Application Number** July 24, 2003 Filing Date for FY 2003 Carlo Waldfried First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit (\$) 40.00 AXC 0001 I3/01-SM5-218 CIP2

| TOTAL AMOUNT OF PAYMENT (\$) | 40.00 | | Attorn | ey Doo | ket N | o. AXC 0001 I3/01-SM5-218 CIP | |
|---|----------------|-----------------------------|---------|--------|-------------|--|----------|
| METHOD OF PAYMENT (check all that apply) | | FEE CALCULATION (continued) | | | | | |
| Check Credit card Money O | ther None | 3. Al | ITIDO | ONAL | FEE | S | |
| Deposit Account: | _ | | | Small | | | |
| Deposit Account. | | Fee Code | | | Fee (\$) | Fee Description <u>F</u> | ee Paid_ |
| Account Number | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Deposit | | 1052 | 50 | 2052 | | Surcharge - late provisional filing fee or | |
| Account Name | | 1053 | 130 | 1053 | | cover sheet Non-English specification | |
| The Director is authorized to: (check all that apply) | | 1812 | | 1812 2 | | For filing a request for ex parte reexamination | |
| Charge res(s) maisease serem | y overpayments | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to | |
| Charge any additional fee(s) during the pendency | 4 | | | | | Examiner action | |
| Charge fee(s) indicated below, except for the fill to the above-identified deposit account. | ng ree | 1805 | 1,840* | 1805 | 1,840 | Requesting publication of SIR after Examiner action - | |
| FEE CALCULATION | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | 1 |
| | | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1. BASIC FILING FEE Large Entity Small Entity | | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Fee Fee Fee Fee Description | Fee Paid | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee | | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1002 330 2002 165 Design filling fee | | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1003 520 2003 260 Plant filing fee | | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1004 750 2004 375 Reissue filing fee | | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) | -0- | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES FOR UTILITY A | ND REISSUE | 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| Extra Claims bel | ow Fee Paid | 1502 | 470 | 2502 | | Design issue fee | |
| Total Claims20** = X | | 1503 | 630 | 2503 | | Plant issue fee | |
| Claims — ^ ^ | | 1460 | 130 | 1460 | | Petitions to the Commissioner | —— |
| Multiple Dependent | | 1807 | 50 | 1807 | | Processing fee under 37 CFR 1.17(q) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | 40.00 |
| Code (\$) Code (\$) | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1202 18 2202 9 Claims in excess of | | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection | 1 |
| 1201 84 2201 42 Independent claims | | | | | | (37 CFR 1.129(a)) | |
| 1203 280 2203 140 Multiple dependent | | 1810 | 750 | 2810 | 3/5 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1204 84 2204 42 ** Reissue independ over original pater | | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in and over original | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| l * | | Other | fee (sp | ecify) | | | |
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| **or number previously paid, if greater; For Reisso | ies, see above | = | | | | (Complete (if applicable) | |

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of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the xess) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, rering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

46,458

Telephone 937-223-2050

August 13, 2003

SUBMITTED BY

Name (Print/Type)

Signature

Brian L

Smiler



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent No.

: 7,011,868 B2

Issued

: March 14, 2006

Title

: FLUORINE-FREE PLASMA CURING PROCESS FOR POROUS

LOW-K MATERIALS

Docket No.

: AXD 0001 I3/31126.3

Certificate of Corrections Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box

1450, Alexandria, VA 22313-1450, on May 16, 2006.

Patricia L. Prior

Reg. No: 33,758

Sir:

REQUEST FOR CERTIFICATE OF CORRECTION FOR PTO MISTAKE UNDER 35 U.S.C. 254 and 37 C.F.R. 1.322

In the matter of U.S. Patent No. 7,011,868 B2, it is respectfully requested that a Certificate of Correction be issued to cover the following errors which occurred in the printing of the patent.

Col. 6, line 1, "di-n-propyldi-tert-butoxysiane," should read --di-n-propyldi-tert-butoxysilane,--

Col. 13, line 33, "CH4:" should read -- CH₄--

Col. 13, line 43, "about 3. Gpa" should read --about 3 Gpa--

Col. 15, line 2, "material with" should read --material with the--

The following error in the patent, of which is the fault of the applicant, is of consequence and correction thereof is respectfully requested.

(73) Assignee: "Axcelis Technologies, Inc., Beverly, MA (US)" should read --Axcelis Technologies, Inc., Beverly, MA (US) and --JSR Corporation, Tokyo, Japan--



REMARKS

It is respectfully requested that a Certificate of Correction be issued for the above-identified patent. Enclosed is our check in the amount of \$100.00 which represents the payment for the above subject matter. Two (2) copies of the required Certificate of Correction Form PTO/SB/44 are enclosed.

Respectfully submitted, DINSMORE & SHOHL LLP

Patricia L. Prior

Registration No. 33,758

One Dayton Centre One South Main St., Suite 1300 Dayton, Ohio 45402-2023 Telephone: (937) 449-6400

Facsimile: (937) 449-6405

PLP/keo Encl.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,011,868 B2

APPLICATION NO.: 10/627,894
ISSUE DATE : July 24, 2003

INVENTOR(S) : Waldfried, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(73) Assignee: "Axcelis Technologies, Inc., Beverly, MA (US)" should read --Axcelis Technologies, Inc., Beverly, MA (US) and --JSR Corporation, Tokyo, Japan--

Col. 6, line 1, "di-n-propyldi-tert-butoxysiane," should read --di-n-propyldi-tert-butoxysilane,--

Col. 13, line 33, "CH4:" should read --CH4--

Col. 13, line 43, "about 3. Gpa" should read --about 3 Gpa--

Col. 15, line 2, "material with" should read --material with the--

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Dinsmore & Shohl, LLP One Dayton Centre One South Main Street, Suite 1300 Dayton, Ohio 45402

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

A certificate will issue for the remaining error(s) in your request.

Stacy Powell, Sr. For Cecelia B. Newman, Supervisor Decisions & Certificates of Correction Branch (703) 308 - 9390 or (703) 305 - 8228

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Dinsmore & Shohl, LLP One Dayton Centre One South Main Street, Suite 1300 Dayton, Ohio 45402



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www.uspto.gov

Patent No. : 7,011,868 Appl. No. : 10/627,894 Inventor(s) : Waldfried, et al.

Issued : Mar. 14, 2006

Title : FLUORINE-FREE PLASMA CURING PROCESS FOR POROUS LOW-K

MATERIALS

Docket No. : AXC 0001 I3 (01-SM5-218 C

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, with a request under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- <u>B.</u> include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

Applicant has not included items A and or C above; accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail:

Mail Stop PETITIONS Commissioner for Patents Post Office Box 1450

Alexandria, VA 22313-1450